

### **CTE:** What is it? What is the Relationship to **Repetitive Heat Impacts (RHI)?** Ann C. McKee M.D. William Fairfield Warren Distinguished Professor of Neurology and Pathology **Boston University School of Medicine** Director, Neuropathology, VA Boston Director, BU CTE Center Director, BU Alzheimer's Disease Research Center



Neurobiology of Aging, Vol. 14, pp. 303-307, 1993 Printed in the U.S.A. All rights reserved.

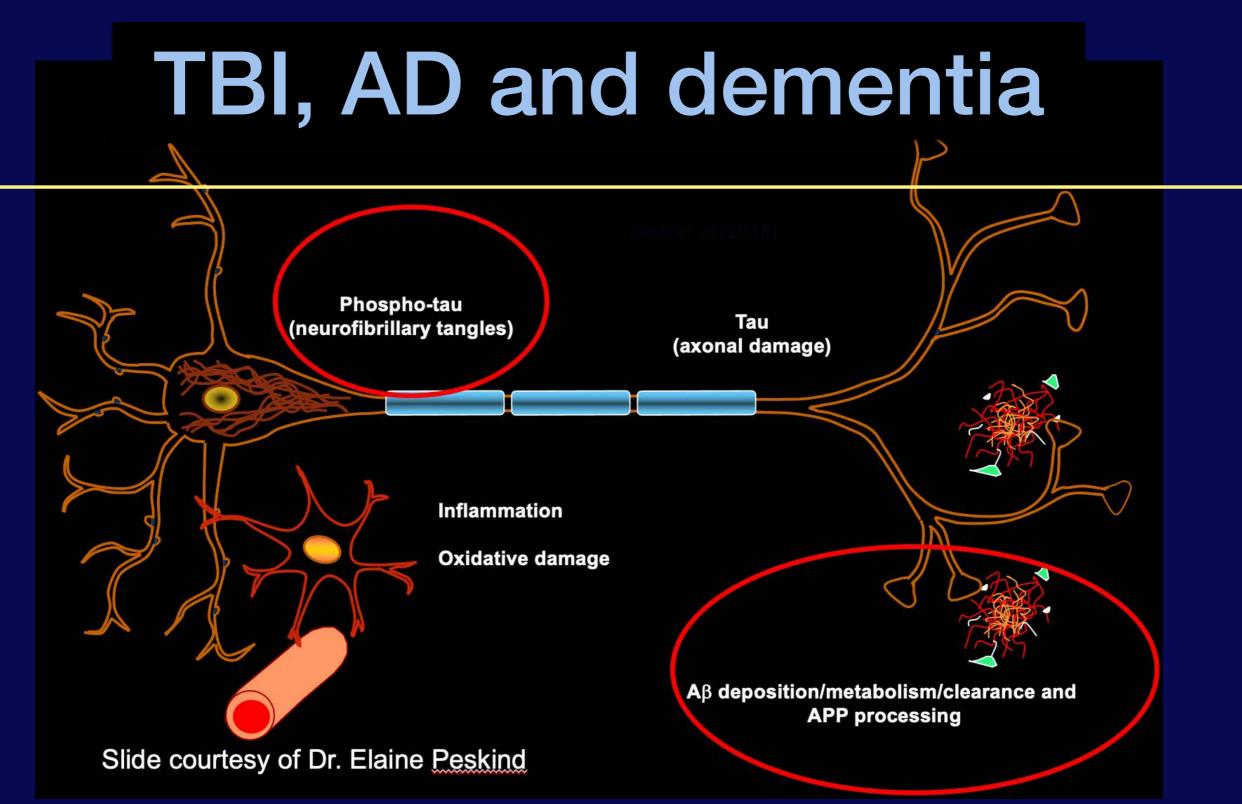
### Morphometric Image Analysis of Neuropil Threads in Alzheimer's Disease

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Received 16 September 1992; Revised 5 February 1993; Accepted 10 February 1993

### No disclosures



TBI, particularly moderate-severe TBI, has long been recognized as a risk factor for Alzheimer's disease and dementia.

## TBI, AD, PD, and dementia

- Most studies, not all, have found that moderate & severe TBI are associated with increased risk or earlier onset of Alzheimer's disease and dementia, particularly in those with genetic risk factors, such as one or more apolipoprotein E e4 alleles.
- Recently mild TBI has been shown to increase all-cause dementia.
- Multiple studies also report the risk of clinical Parkinson's disease (PD) is greater in those with a history of mild, moderate or severe TBI.
- Repetitive head impacts (RHI), including symptomatic concussions and asymptomatic <u>nonconcussive injuries</u>, are associated with Chronic Traumatic Encephalopathy (CTE)
- RHI is also associated with increased risk of LBD.

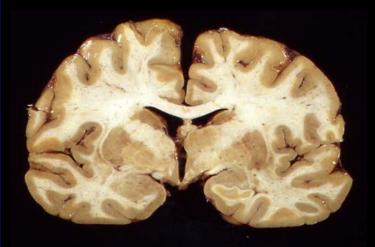
### CTE in the news

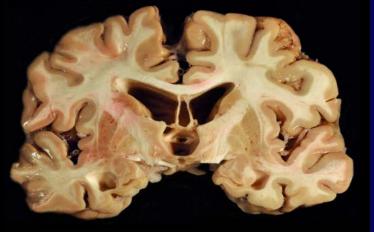
# US health body rules collision sports cause CTE in landmark change

US National Institutes of Health acknowledge causal link Concussion in Sport Group conference takes place this week

### The Guardian, October 2022

- CDC: "Most research suggests that CTE is caused in part by exposure to repeated traumatic brain injuries, including concussion, and repeated hits to the head, called subconcussive impacts."
- **NINDS** statement on CTE causation updated October 2022:
- "CTE is a delayed neurodegenerative disorder that was initially identified in post-mortem brains and research-to-date suggests, is caused in part by head injuries."





## What is CTE?

#### Chronic traumatic encephalopathy (CTE) is a

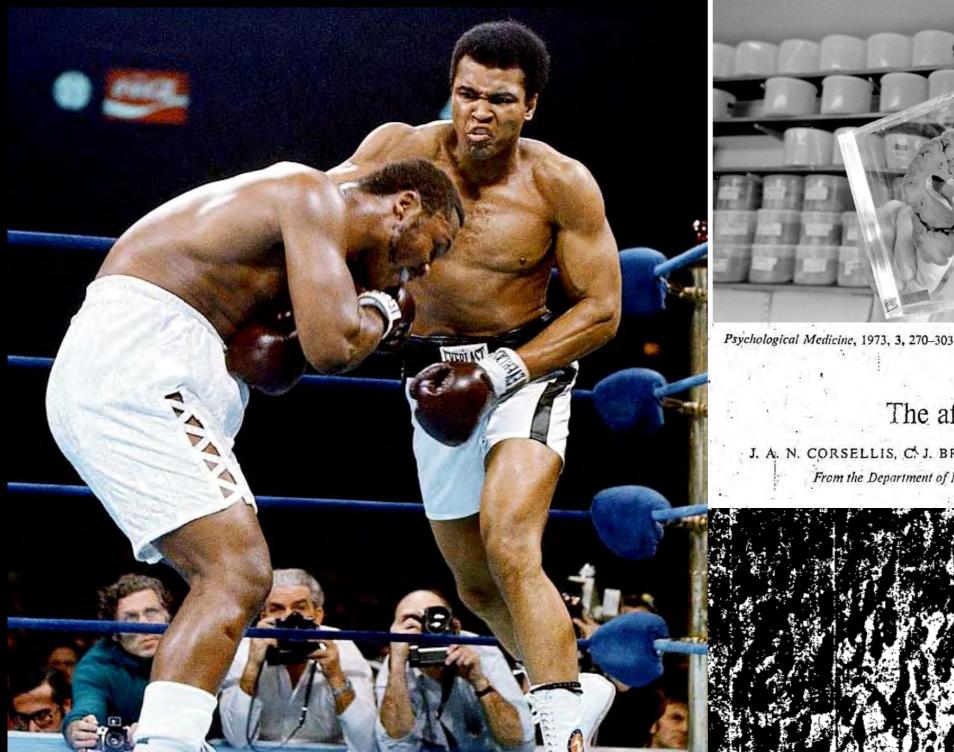
neurodegenerative pathology associated with exposure to repetitive head impacts (RHI), including symptomatic concussions and asymptomatic <u>nonconcussive injuries</u>

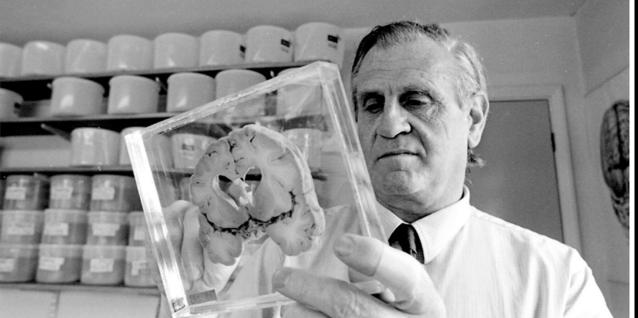
**CTE** has been diagnosed in American football, rugby, ice hockey, soccer players, boxers, wrestlers, and individuals exposed to domestic violence, head banging, and military service-related injuries.

**CTE** can only be diagnosed after death by postmortem brain examination. It cannot be diagnosed with certainty during life.

The clinical condition associated with CTE pathology is *Traumatic Encephalopathy Syndrome (TES)*.

### Clinicopathological Series of 15 boxers with CTE Corsellis, Bruton, Freeman-Browne 1973 (57 to 91 years old, mean 67 yrs)





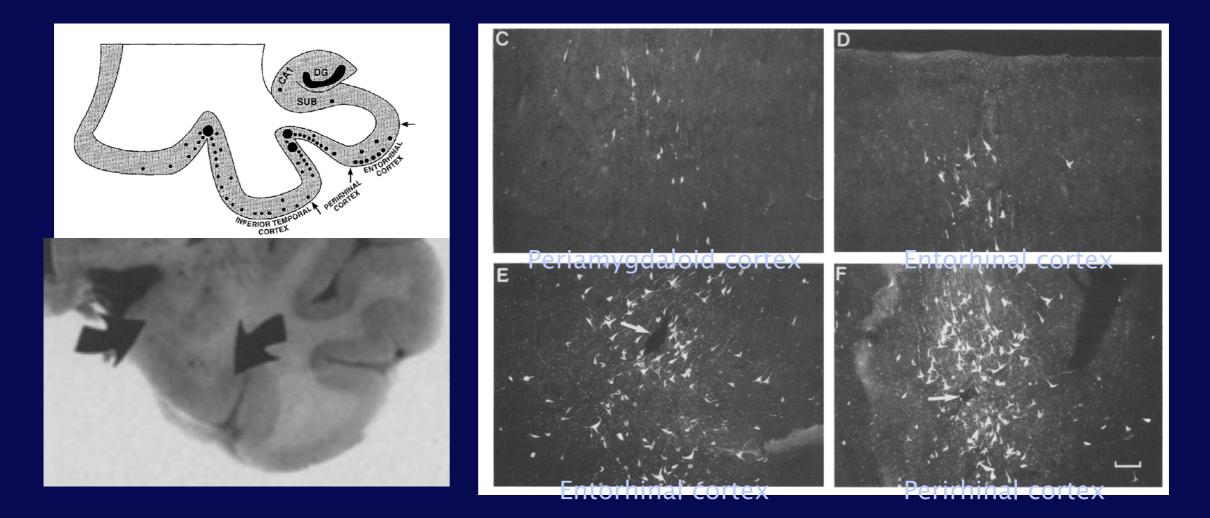
#### The aftermath of boxing<sup>1</sup>

J. A. N. CORSELLIS, C. J. BRUTON, AND DOROTHY FREEMAN-BROWNE From the Department of Neuropathology, Runwell Hospital, Wickford, Essex

### Von Braunmuhl silver: Neurofibrillary tangles

Neuropathological observations in a case of autism presenting with self-injury behavior Hof P, Knabe R, Bovier P, Bouras C Acta neuropathologica. 1991;82(4):321-326

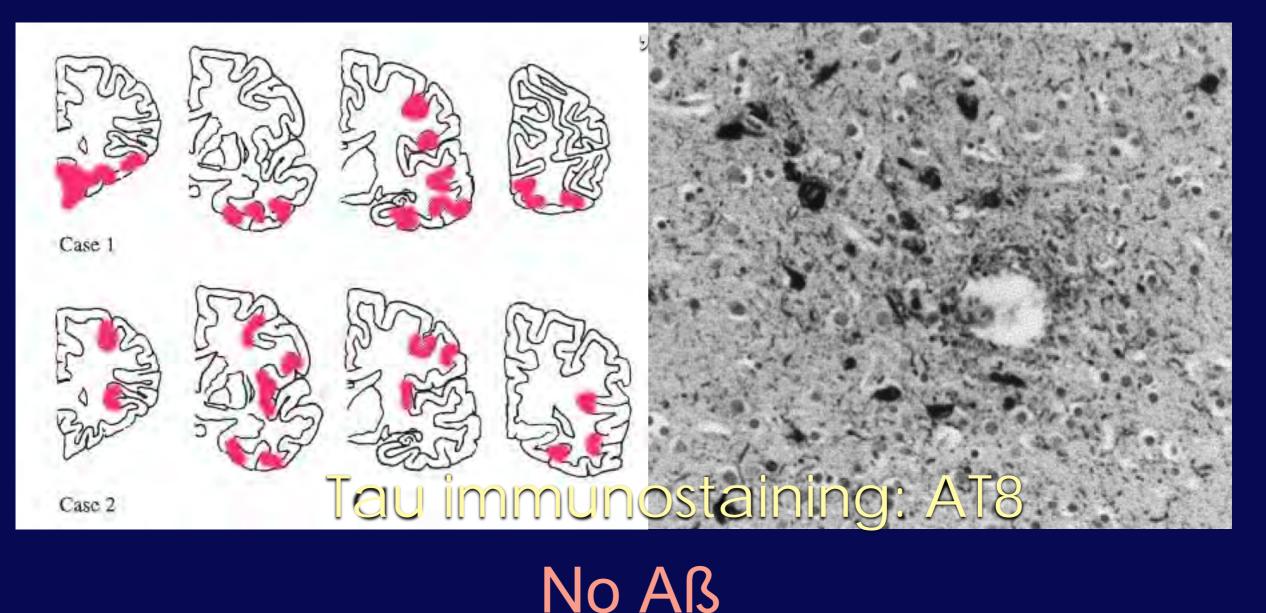
Thioflavin: clusters of NFTs around blood vessels in the periamygdaloid, entorhinal and perirhinal cortex



### 24 year old woman No Aß

Neuronal cytoskeletal changes are an early consequence of repetitive head injury Geddes J, Vowles G, Nicoll J, Revesz T Acta Neuropath. 1999: 98(2):171-178

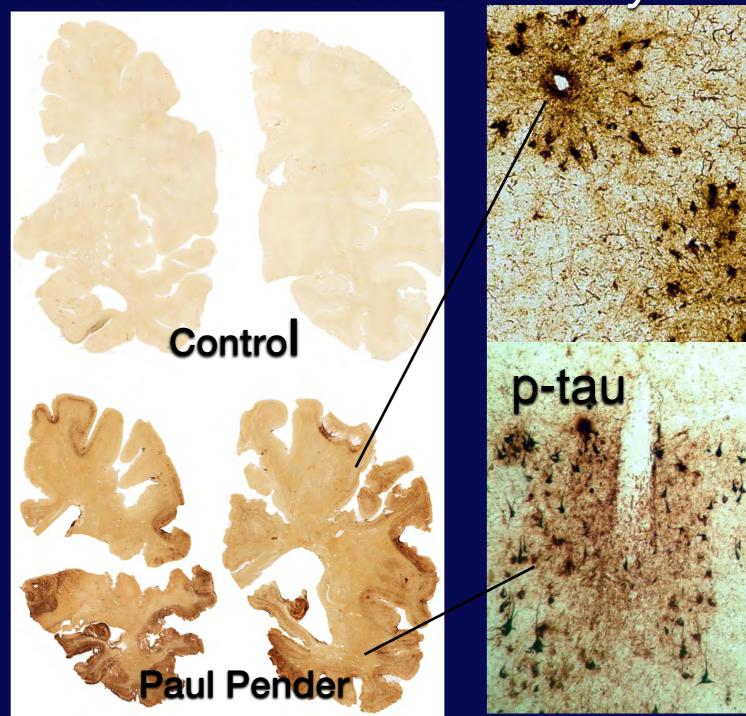
5 men 23-28 years: 2 boxers, 1 rugby, 1 epileptic, 1 head-banger 21 age-matched controls



### Paul Pender (1930-2003) First case of CTE at VA/Boston University



World Champion Boxer Marine Severe Dementia Clinical diagnosis: AD

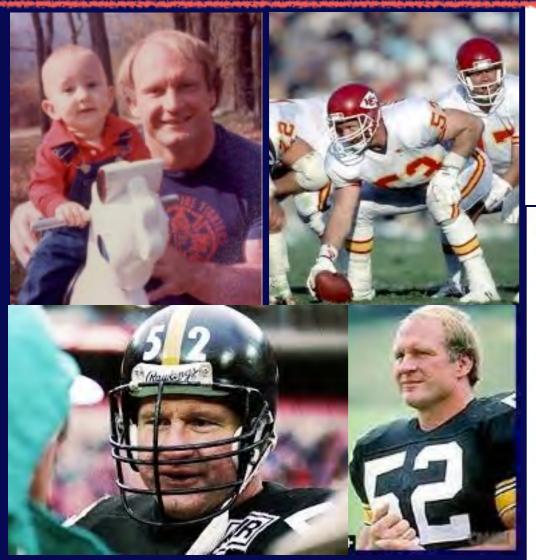


SEVERE TAUOPATHY with no Aß

*McKee et al. J Neuropath Exp Neurol, 2009 68(7): 709-735* 

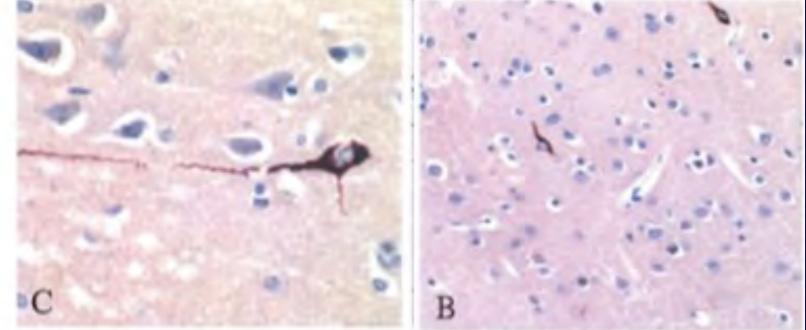
#### 50 years old

### Mike Webster 25 years football 17 years in NFL



#### CHRONIC TRAUMATIC ENCEPHALOPATHY IN A NATIONAL FOOTBALL LEAGUE PLAYER

Bennet I. Omalu, M.D., M.P.H. Departments of Pathology and Epidemiology, University of Pittsburgh, Pittsburgh, Pennsylvania **OBJECTIVE:** We present the results of the autopsy of a retired professional football player that revealed neuropathological changes consistent with long-term repetitive concussive brain injury. This case draws attention to the need for further studies in the cohort of retired National Football League players to elucidate the neuropathological sequelae of repeated mild traumatic brain injury in professional football.



CLINICAL: Behavioral and mood disorder Parkinsonism Cognitive loss Death at 52 years

PATHOLOGICAL: Sparse cortical NFT Diffuse Aß plaques Non-specific pathology

Omalu, et al. 2005

### 45 year old ex-NFL players



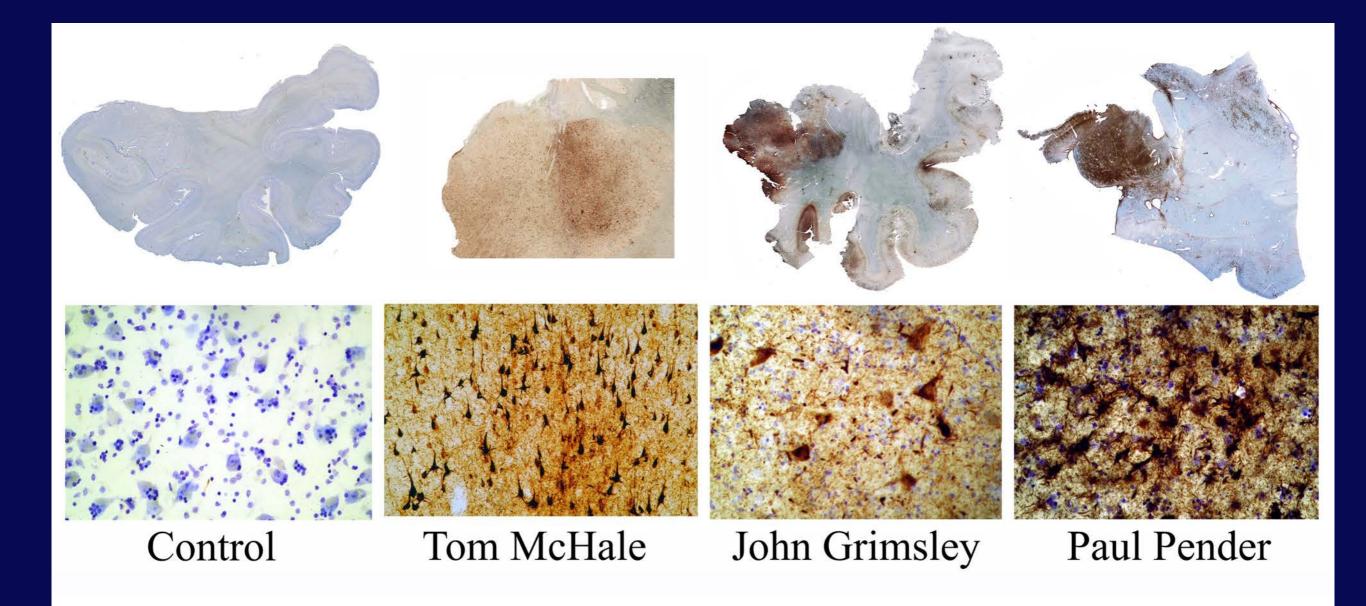
### **Tom McHale**

Lineman, 9 years NFL Retired from NFL at age 32 Age 40: business failed, painkillers, short-term memory problems, depression, irritability Age 45: death from overdose



### John Grimsley

Linebacker, 9 years in NFL Retired from NFL at age 32 Age 40: short term memory problems, attention and concentration difficulties, poor judgment Age 45: death from accidental GSW





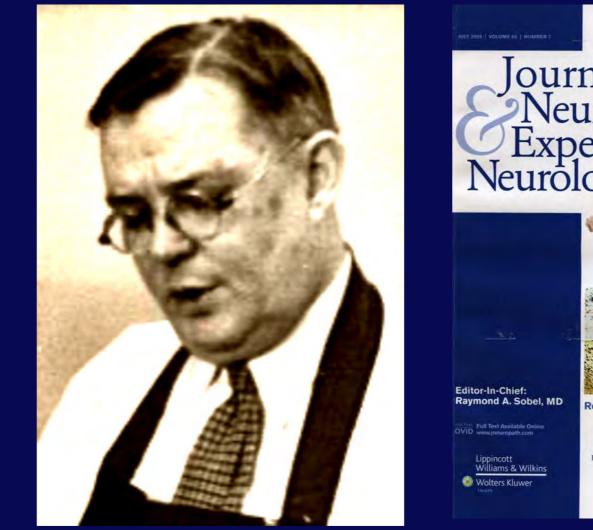




*McKee et al. J Neuropath Exp Neurol, 2009 68(7): 709-735* 

### **Chronic Traumatic Encephalopathy (CTE)**

**Punch drunk** Martland JAMA 91:1103–1107, 1928 **Chronic Traumatic Encephalopathy** Critchley In: Homage a Clovis Vincent, Paris, Malonie, 1949



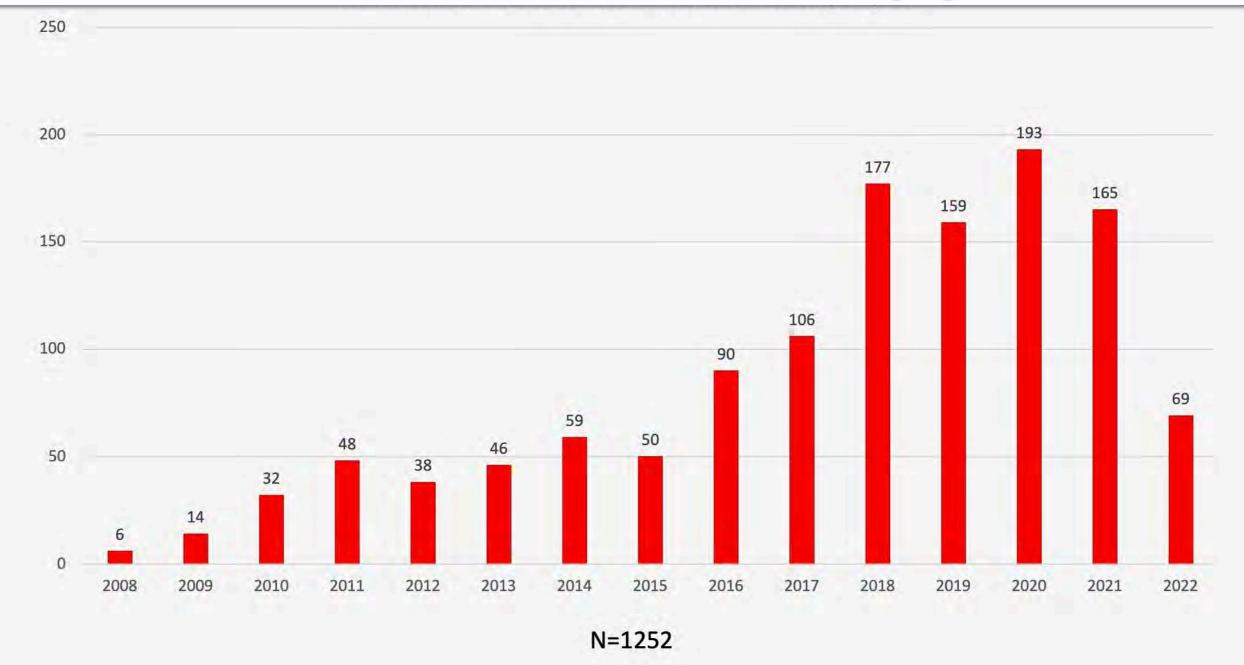
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*Chronic Traumatic Encephalopathy in Athletes: Progressive Tauopathy following Repetitive Head Injury McKee et al. J Neuropath Exp Neurol, 2009 68(7): 709-735* 

### UNITE Brain Bank, 2008-present To investigate the long-term consequences of TBI/RHI



### **UNITE Brain donations by year**



N > 1300

# CTE LESION

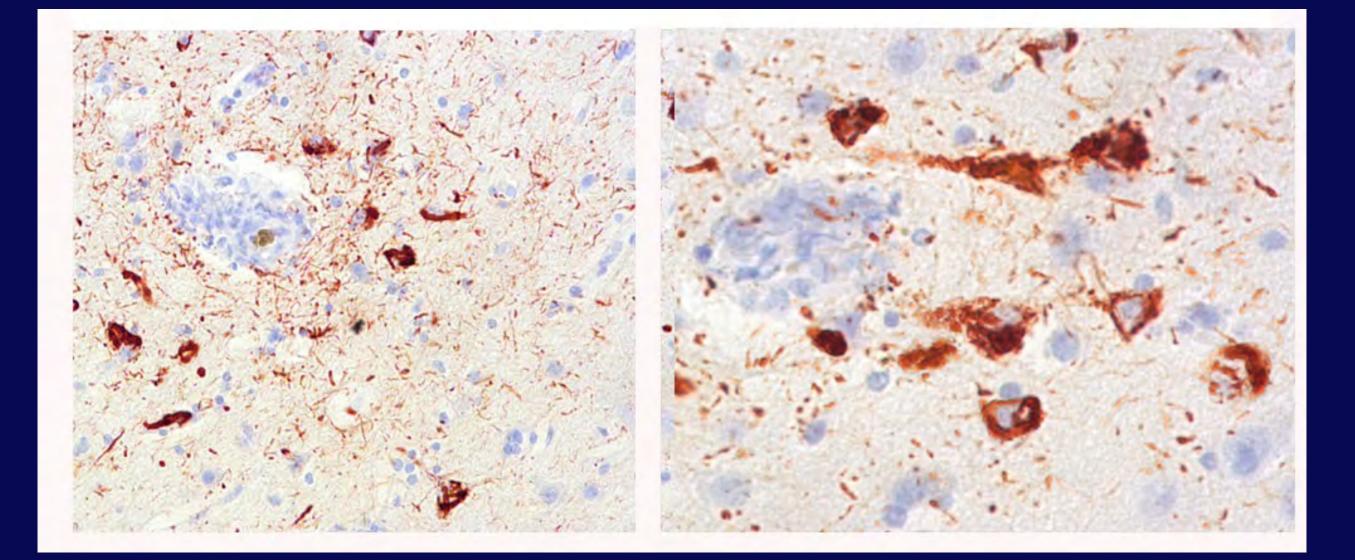
\* depth of the sulcus

### ptau



## **Diagnostic features of CTE:**

### 1. Perivascular p-tau lesion (CTE lesion)



McKee et al, Brain 2013

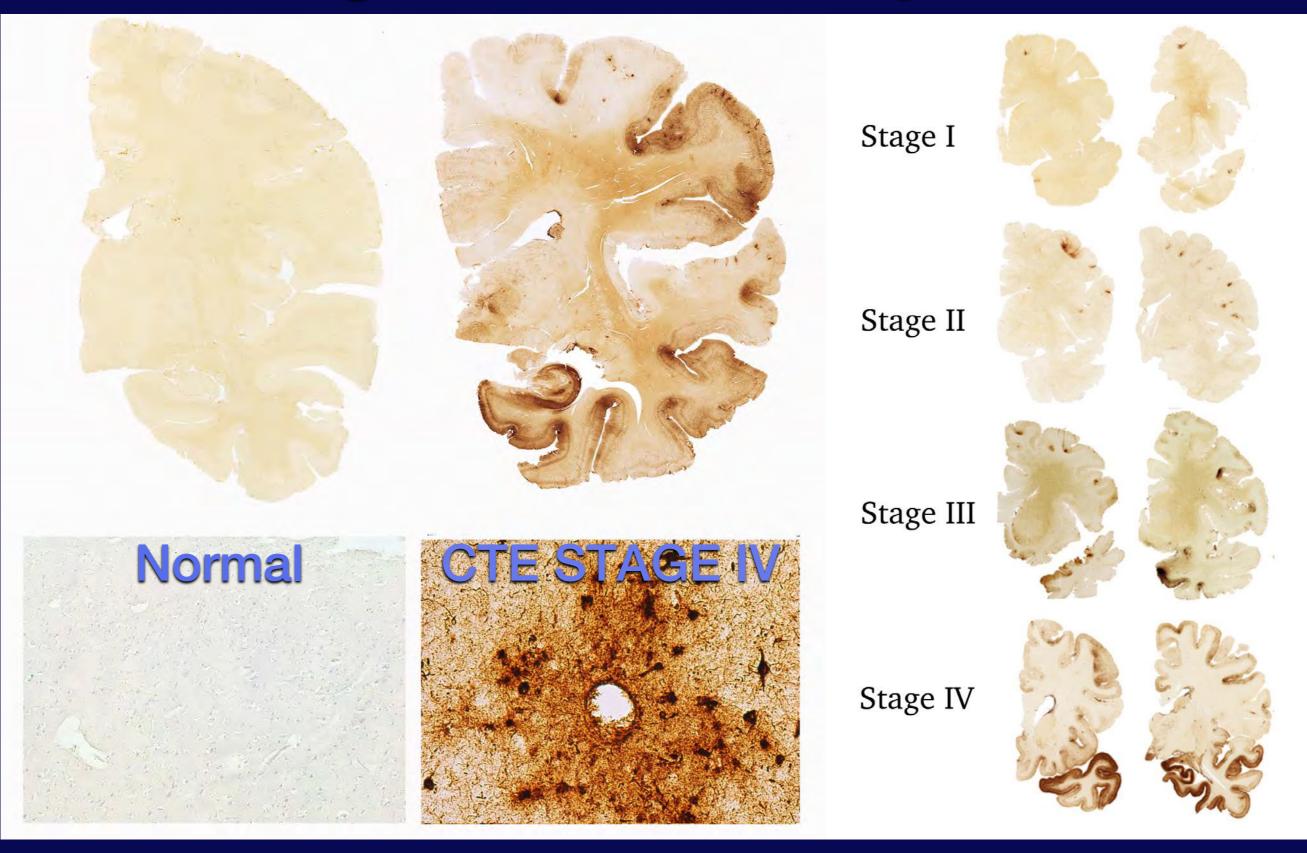
## **Diagnostic features of CTE:**

### 2. CTE lesions are found at the sulcal depths



### McKee et al, Brain 2013

### **Stages of CTE severity I-IV**



McKee et al, Brain 2013

### Stages of Tau Pathology

### Age at Death

The method of staging CTE ptau pathology was based on large hemispheric 50-mm-thick slides immunostained as free-floating sections for p-tau

### Stage I

Stage II

Stage III

### Stage IV



### m age: 28.3 + 13 yrs

### m age: 44.3 + 16 yrs

m age: 56.0 + 14 yrs

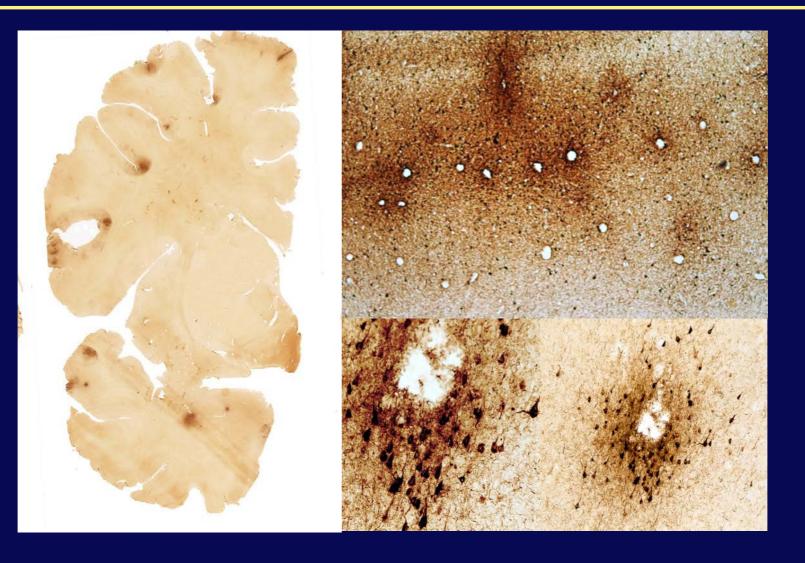
m age: 77.4 + 12 yrs

CTE stage significantly correlates with age at death and total number of years playing football

### First NINDS/NIBIB consensus meeting to define neuropathological criteria for the diagnosis of CTE Acta Neuropathologica. 2016;131(1):75-86

Nigel Cairns, Ph.D., Rebecca Folkerth, MD, Wayne Gordon PhD, C. Dirk Keene, M.D., Irene Litvan, PhD, Ann McKee, MD, Daniel Perl, M.D., Thor Stein M.D., Ph.D., William Stewart, M.D., Jean Paul Vonsattel, M.D., Dennis Dickson, M.D, Patrick Bellgowan, MD, Debra Babcock, PhD, Walter Koroschetz, MD

### Pathognomonic Lesion of CTE



"In CTE, the tau lesion considered pathognomonic was an abnormal perivascular accumulation of tau in neurons, astrocytes, and cell processes at the depths of the cortical sulci in an irregular pattern."

McKee et al, Acta Neuropathologica. 2016;131(1):75-86

*J Neuropathol Exp Neurol* Vol. 00, No. 00, 2021, pp. 1–10 doi: 10.1093/jnen/nlab001

The Second NINDS/NIBIB Consensus Meeting to Define Neuropathological Criteria for the Diagnosis of Chronic Traumatic Encephalopathy

- Confirmed the 2016 NINDS criteria,
- clarified that the pathognomonic lesion must include
- ptau in neurons
- Purely astrocytic perivascular p-tau pathology is ARTAG; not diagnostic for CTE
- A single pathognomonic lesion is sufficient to diagnose CTE
- When only a limited number of standard paraffin slides are available, the panel suggested an algorithm for classifying CTE as low and high stage.

Bieniek et al, JNEN 2021

#### ORIGINAL PAPER

1

- <sup>2</sup> Characterizing tau deposition in chronic traumatic encephalopathy
- 3 (CTE): utility of the McKee CTE staging scheme
- <sup>4</sup> Michael L. Alosco<sup>1,18,19,20,21</sup> · Jonathan D. Cherry<sup>1,2,3,4</sup> · Bertrand Russell Huber<sup>1,4,7</sup> · Yorghos Tripodis<sup>1,6</sup> ·
- 7 Robert A. Stern<sup>1,12,17</sup> · Victor E. Alvarez<sup>1,4,5</sup> · Jesse Mez<sup>1</sup> · Thor D. Stein<sup>1,2,3,4,5</sup> · Ann C. McKee<sup>1,2,3,4,5</sup>

### Association between CTE stage (n=366) :

- 1. Semi-quantitative assessments of AT8 from 14 brain regions
- 2. Quantitative digital assessment of AT8 across 7 brain regions
- 3. Age at death
- 4. Dementia status
- 5. Years of American football play (proxy for cumulative RHI exposure)

### Alosco et al, Acta Neuropathologica 2021

#### 1. Stages of CTE Correlate with Semi-Quantitative Scales of P-tau

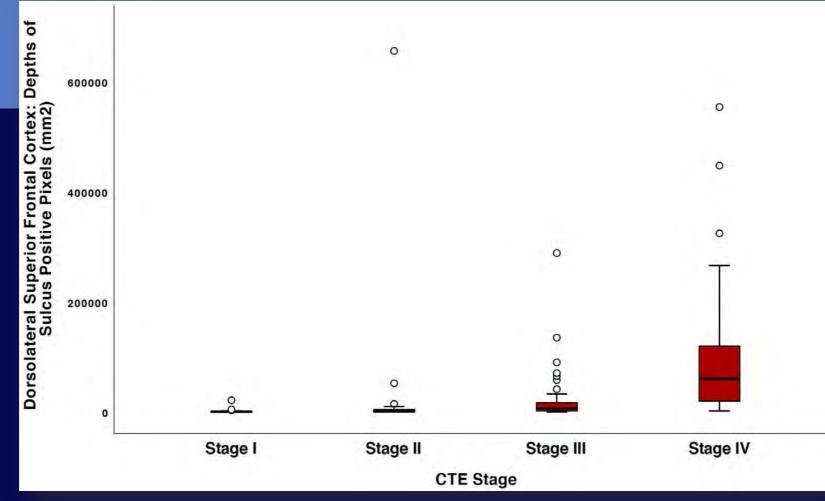
#### Statistically significant across all 14 brain regions:

Dorsolateral frontal cortex ( $\rho = 0.65$ , p < 0.001), Rolandic cortex ( $\rho = 0.64$ , p < 0.001), Inferior Frontal cortex ( $\rho = 0.66$ , p < 0.001), Inferior Parietal cortex ( $\rho = 0.60$ , p < 0.001), Superior Temporal cortex ( $\rho = 0.63$ , p < 0.001), Hippocampus: CA1 ( $\rho = 0.51$ , p < 0.001), CA2 ( $\rho = 0.62$ , p < 0.001), CA4( $\rho = 0.66$ , p < 0.001), Entorhinal Cortex ( $\rho = 0.66$ , p < 0.001), Amygdala ( $\rho = 0.72$ , p < 0.001), Substantia Nigra ( $\rho = 0.70$ , p < 0.001),Locus Coeruleus ( $\rho = 0.42$ , p < 0.001).

#### 2. Stages of CTE Correlate with Quantitative P-tau Density

Statistically significant across all brain regions:

DLF gyral crest ( $\rho = 0.77$ , p < 0.001), DLF depths of sulcus ( $\rho = 0.73$ , p < 0.001), CA1 ( $\rho = 0.69$ , p < 0.001), CA2/3 ( $\rho = 0.66$ , p < 0.001), CA4 ( $\rho = 0.72$ , p < 0.001), subiculum ( $\rho = 0.70$ , p < 0.001), and the LC ( $\rho = 0.55$ , p < 0.001). Example:

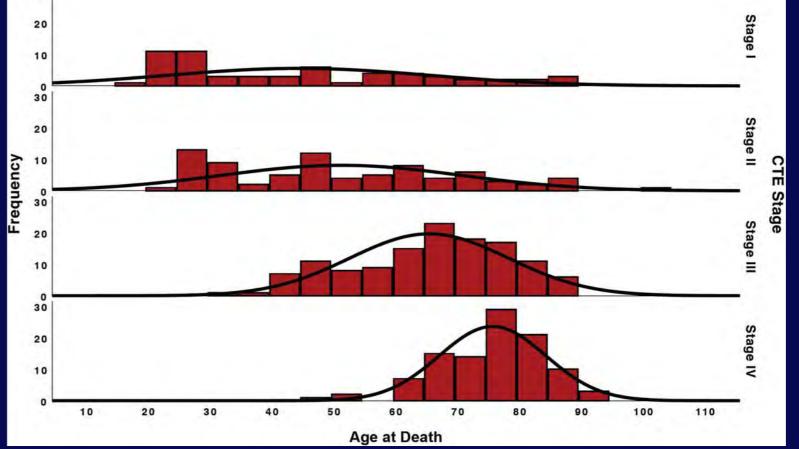


### 3. Stages of CTE Correlate with Age at Death

30

The nature, severity and distribution of CTE-related ptau pathology followed an age-dependent progression

17-100 years old (mean = 61.75, SD = 18.97) Age  $\rightarrow$  CTE Stage (p < 0.001)



Age at Death	N	CTE Stage (III/IV)	DLFC	IOFC	Superior Temporal	Infer. Parietal	CA1	CA2	CA4	Entorhinal	Amygdala	SN	LC
20-29	26	0	1.12	0.54	0.88	0.81	0.27	0.04	0.15	1.02	0.90	0.37	0.85
30-39	12	1	1.50	0.92	1.58	0.92	0.83	0.17	0.58	1.00	0.92	0.42	1.33
40-49	36	15	1.78	1.06	1.44	1.25	1.06	1.00	0.86	1.67	1.25	0.86	1.94
50-59	29	16	1.83	1.07	1.90	1.21	1.55	1.24	0.93	1.90	1.66	1.28	2.17
60-69	66	49	2.14	1.61	1.97	1.73	2.00	1.88	1.71	2.30	2.00	1.76	2.45
70-79	75	66	2.23	1.85	2.21	1.76	1.77	1.97	1.88	2.55	2.33	1.85	2.20
80-89	57	47	2.16	1.93	2.12	1.88	1.93	1.81	1.89	2.47	2.35	1.95	2.16
Total	301	194	1.98	1.50	1.88	1.55	1.59	1.50	1.44	2.11	1.87	1.47	2.08

# 4. Stages of CTE Are Associated with Dementia Status (N = 360)

- 216 (60%) determined by consensus panel to have had ante-mortem dementia
- Binary logistic regression controlling for age showed higher CTE stage was associated with increased odds for having dementia (OR = 1.64, 95% CI = 1.19-2.27, p = 0.003); remained after controlling for neurodegenerative and vascular comorbidities

# 5. Stages of CTE Correlate with Years of American Football Play

#### Replicated our past work in this larger sample:

 Among the 305 brain donors whose primary sport was American football, more years of American football play was associated with increased odds for having a higher stage of CTE (OR = 1.10, 95% CI = 1.06-1.15, p < 0.001), controlling for age at death.

## **Evolution of neuronal and glial tau isoforms in chronic traumatic encephalopathy**

Jonathan D. Cherry<sup>1,2,3,4</sup> ; Soong Ho Kim<sup>5</sup>; Thor D. Stein<sup>1,3,4,6</sup> ; Morgan J. Pothast<sup>3,4</sup>; Raymond Nicks<sup>3,4,6</sup>; Gaoyuan Meng<sup>6</sup>; Bertrand R. Huber<sup>3,4,6</sup>; Jesse Mez<sup>2,3,7</sup>; Michael L. Alosco<sup>2,3</sup>; Yorghos Tripodis<sup>8</sup>; Kurt Farrell<sup>5</sup>; Victor E. Alvarez<sup>3,4,6</sup>; Ann C. McKee<sup>1,2,3,4,6,\*</sup>; John F. Crary<sup>5,\*</sup>

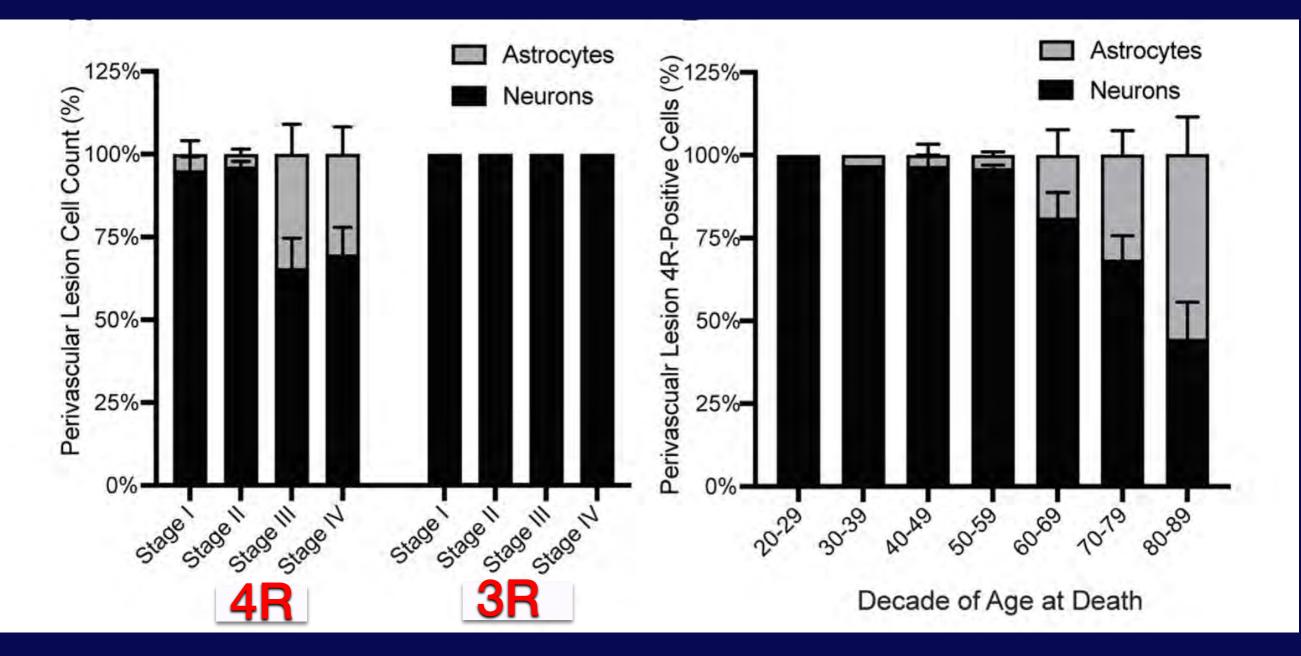
CTE (n=99) wide range age at death: 20-90 years wide disease severity: CTE stage I-IV

Quantitative morphologic assessment and multiplex immunofluorescence were used to determine:

 4R: 3R containing neurons and astrocytes within the pathognomonic CTE lesion

Cherry et al, Brain Pathology, 2020

### The early CTE lesion: Neuronal, 4R tau



4R p-tau astrocytes in crease with age not pathological severity

Cherry et al, Brain Pathology, 2020

## Tau pathology in CTE:

- CTE : mixed 4R and 3R tau
- Neuronal tau predominates in early CTE
- 4R neuronal tau predominates in early CTE
- There is a shift from 4R toward 3R tau as the severity of CTE increases
- 4R astrocytes increase with age, not CTE severity, especially after age 60
- Astrocytic p-tau only 4R tau

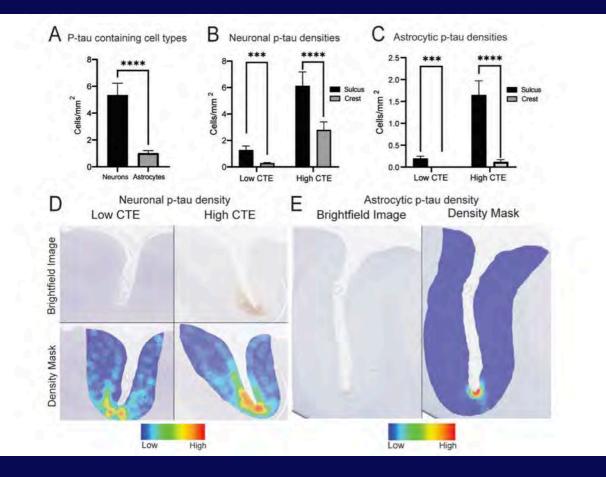
Cherry et al, Brain Pathology, 2020

ORIGINAL ARTICLE

#### Tau Pathology in Chronic Traumatic Encephalopathy is Primarily Neuronal

Morgane L.M.D. Butler, BSc, Erin Dixon, BS, Thor D. Stein, MD, PhD, Victor E. Alvarez, MD, Bertrand Huber, MD, PhD, Michael E. Buckland, MBBS, PhD, FRCPA, FFSc, Ann C. McKee, MD, and Jonathan D. Cherry D, PhD

Quantitation of Multiplex immunofluorescent staining in CTE (n=150)



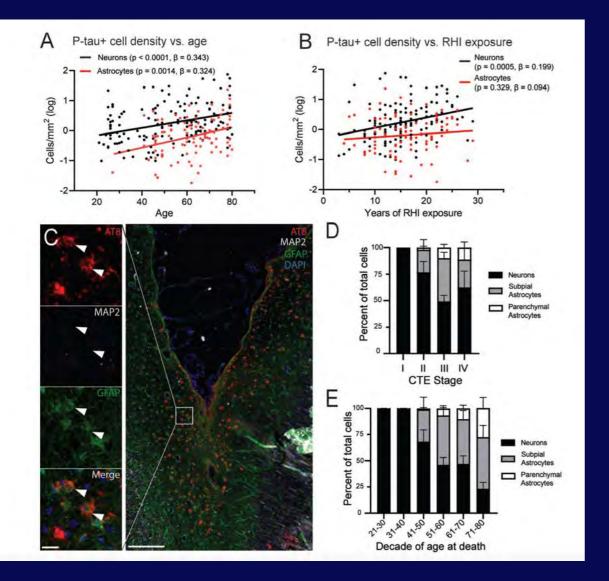
 More neuronal p-tau was found across all cortical regions compared to astrocytic p-tau.

Do

• Sulcal astrocytic ptau was primarily localized to subpial regions as thornshaped astrocytes, a form of agerelated tau astrogliopathy. **ORIGINAL** ARTICLE

#### Tau Pathology in Chronic Traumatic Encephalopathy is Primarily Neuronal

Morgane L.M.D. Butler, BSc, Erin Dixon, BS, Thor D. Stein, MD, PhD, Victor E. Alvarez, MD, Bertrand Huber, MD, PhD, Michael E. Buckland, MBBS, PhD, FRCPA, FFSc, Ann C. McKee, MD, and Jonathan D. Cherry (D, PhD)

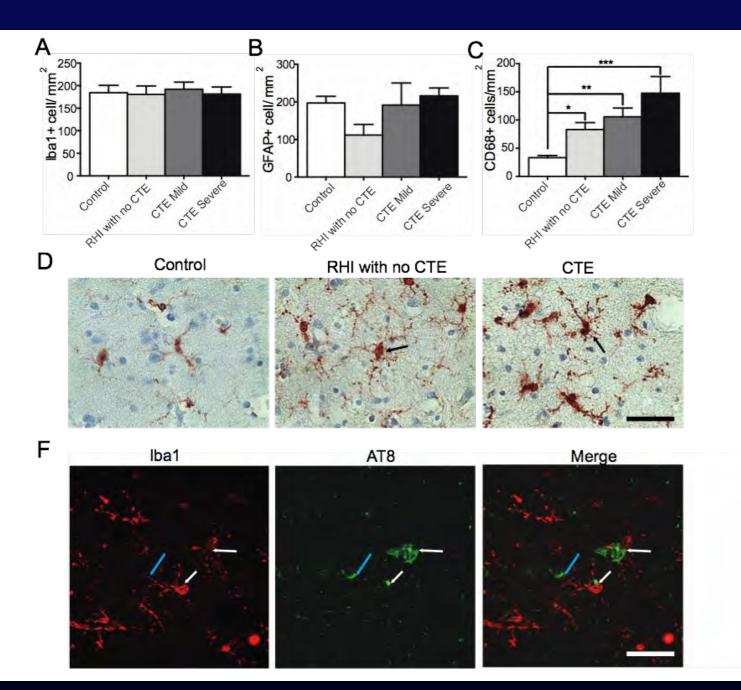


• Neuronal p-tau was significantly associated with age, years of RHI exposure, and CTE severity.

Dov

• Astrocytic p-tau pathology was significantly associated only with age.

### Inflammatory microglia are found in the perivascular CTE lesion and contribute to the ptau pathology Increased activated microglia in young football players w RHI (m age 32 yrs) and increase further in CTE.



Increased neuroinflammation associated with increased AT8 pathology

Iba1 positive cells surrounding AT8 positive clusters. White arrows =Iba1 cell body near tau aggregates. Blue arrow =microglia process contacting AT8 + cell

Cherry J et al,, Acta Neuropathol Comm, 2016