



Effort Guidelines for Grant Applications

- 1) New grants must contain appropriate funded effort for the principal investigator, as outlined below, except where salary support is not allowed or limited by the funding agency.
- 2) For R01-or equivalent grants (DoD, P01, U54 or SPORE project, >\$200,000 per year in direct costs), a minimum of 30% effort must be charged to the grant if the PI does not have any additional R01-equivalent funding. For dual- or multi-PI applications, 25% effort should be charged for each principal investigator.
- 3) A minimum of 10% effort should be budgeted for program project and/or cooperative agreement (P01, U01) project leaders and core directors.
- 4) A minimum of 10% PI salary should be budgeted for grants with annual direct costs of >\$100,000 and <\$200,000 that allow salary recovery, such as NIH R21 grants and grants from private foundations.
- 5) Voluntary cost sharing of effort is prohibited. If voluntary cost shared effort is proposed, the % effort should be minimal and must be approved in advance of the eIAF being routed by the Chair/Director and the Vice Dean for Research. Cost shared effort will be allowed if specifically required by the granting agency. For additional information, please see the University policy on cost sharing www.research2.uky.edu/office-sponsored-projects-administration/cost-sharinggrant-matching
- 6) Any exceptions to the above guidelines must be requested in writing and approved by the Chair/Director and Vice Dean for Research prior to the routing of the eIAF.

Effective 4/20/18